Insight
Vision
Experience

FinServ Consulting - Firm Overview

FINSERV CONSULTING provides professional consulting services to banks & alternative asset managers, and their service providers. FinServ specializes in helping our clients align business processes with technology.

Founded in 2005, FinServ has helped dozens of top asset managers optimize their firm's operations and infrastructure. FinServ focuses on a 'big picture' approach; ensuring our clients are benefitting from the experiences gained from our diverse and leading-edge client base and project experience.

We provide guidance and partner with our clients to manage complex projects, refine business strategies, and ensure our client goals are realized through world class project management and an unparalleled attention to detail.

OUR TEAM represents a diverse group of professionals with extensive financial services experience spanning the front, middle, and back office.

The common thread across our team is their passion for helping our clients achieve exceptional results. Every member of the FinServ team is trained in project management and we apply a consistent methodology to all our project work.

SYSTEMS INTEGRATION

Extensive implementation experience across the front, middle, and back office allows FinServ to assist clients in getting the most out of each application they purchase. Our methodology-driven approach focuses on our client's unique needs, to ensure an optimal ROI, and an on-time, on-budget delivery each time.

SYSTEMS DEVELOPMENT

Proven experience in developing bespoke cost-effective systems when existing vendor applications are not available to meet the unique needs of our clients. FinServ offers onshore, offshore, and hybrid development options leveraging agile development techniques and tools.

BUSINESS CONSULTING

Business process reengineering, information management, and vendor selections are just a few of the ways FinServ helps our clients create an organization that is prepared for the numerous regulatory or industry requirements either now or on the horizon.

Representative Client Qualifications:

$45B AUM Multi-Strategy, Systematic Investment Manager

- Designed & Managed a system to Optimize the Allocation of fills to Prime Brokers.
- Vendor Selection & Implementation of a Enterprise Level HR system, including Reengineering of all HR processes.
- Documented Data and Process Flows including Investor Reporting, Form PF, AIFMD, and Treasury Reporting.
- Vendor Selection & Implementation of a Management Company General Ledger and Consolidation System.
- Vendor Selection & implementation of a Procure to Pay Solution.

$16B AUM Global Macro, Quant, Long Short Hedge Fund

- Implemented Business Intelligence solution for Trader Payout, Expense Allocation, and Monthly Flash Reporting.
- Designed, Developed, and Provided Offshore support for a bespoke Bonus & Compensation application.
- Reengineered Corporate Reporting & Fund Accounting functions.

Tier 1 Global Bank

- Established & Led the Post-Financial Crisis, Global Liquidity Program for the Bank.
- Project Managed & Provided Advisory for the Replacement of the Bank's Legal Entity Management systems.
- Led the Volcker Rule & Dodd Frank Compliance Initiatives for the Corporate Treasury group.
- Performed Controls Assessment & Remediation for the Treasury Security and Derivatives group.

$2B AUM Middle Market Private Equity Fund

- Implemented full Office 365 Solution including Email (from on premises email), SharePoint Online, and MS Teams.
- Implemented a Salesforce CRM Solution including Investor and Investment reporting.
- Created Document Management Policies & Procedures to meet regulatory and Investor requirements.
- Led a Vendor Selection for a new Third Party Administrator, including all onboarding work related to the new TPA.

Top Tier Independent Investment Bank Advisory Firm

- Performed Project Management & Advisory for all Trading Desk technology initiatives.
- Delivered Project Management & Technical Expertise to lead a Workday HCM Implementation.
- Conducted Vendor Selection & Implementation of a Compensation and Applicant Tracking Application.

$3B Value Oriented Hedge Fund

- Implemented Enfusion as a full Shadow Accounting Solution
- Managed the EzeCastle Upgrade including the Buildout of Eze Analytics and Eze Modeling

For all inquiries please contact:

Howard T. Weinstein
Managing Partner
404 5th Avenue
New York, NY 10018
(609) 819-8022
hweinstein@finservconsulting.com
www.finservconsulting.com

Follow FinServ on LinkedIn!

Follow

FinServ Consulting is a proud integration partner with:

Microsoft salesforce

FinServ CONSULTING

Insight
Vision
Experience

FinServ Consulting – Integration Experience

Systems Integration

FinServ's approach to software integration focuses on creating the most <u>cost effective</u> & <u>efficient</u> implementation for the client.

Through our 13+ years of consulting funds, FinServ has found that in many cases integrating and customizing an industry software is much more effective than creating a custom software product. Custom developed solutions can unnecessarily burden the client with large maintenance costs & support requirements.

With so many years in the industry FinServ has developed extensive expertise across the majority of the industry software packages. Below are some of the systems & service providers we have worked on:

Portfolio Software

Advent Geneva · Black Mountain · enfusion · Wall Street Office · Eze Software Group · SunGard Investran · Paladyne · Portware · NEX Enso · Tradar · Fidessa · FIS

CRM Systems

salesforce · Backstop · imagineer · Dynamo Software

Fund Administration / Investor Support

CITCO · IFS International Fund Services · Intralinks · SS&C GlobeOp · SEI · Northern Trust

ERP / HCM Systems

Microsoft Dynamics GP · Lawson · Unit4 Agresso · workday · decusoft · cornerstone · WGN

Business Intelligence

Power BI · tableau · Qlik · iLEVEL · Lightkeeper, LLC

For all inquiries please contact:
<u>Howard T. Weinstein</u>
Managing Partner
404 5th Avenue
New York, NY 10018
(609) 819-8022
hweinstein@finservconsulting.com
www.finservconsulting.com

Follow FinServ on LinkedIn!
Follow

FinServ Consulting is a proud integration partner with:

Microsoft · salesforce